

Résolution de systèmes polynomiaux structurés et applications en Cryptologie

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0-dimensional polynomial systems in applications

$$f_1, \dots, f_m \in \mathbb{K}[x_1, \dots, x_n],$$

where \mathbb{K} is a field

$$\begin{cases} f_1(x_1, \dots, x_n) = 0 \\ \vdots \\ f_m(x_1, \dots, x_n) = 0 \end{cases} \Rightarrow \begin{array}{l} \text{list the solutions in} \\ \overline{\mathbb{K}}^n \\ \mathbb{K}^n \\ \mathbb{R}^n \end{array}$$

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- Homotopy (symbolic/numeric)
- Geometric resolution
- Triangular sets
- **Gröbner bases**
 \rightsquigarrow adapted to every field

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- Algebraic **modeling** of cryptographic primitives
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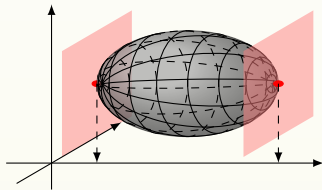
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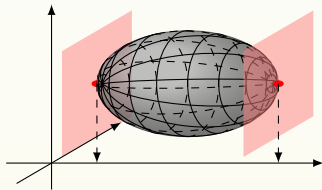
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My Ph.D. thesis: impact of structures in GB computations

Challenges for Structured systems – Motivations

Less solutions than a dense system. Experimentally, **easier** to solve.

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Structure	number of solutions	example
dense bilinear	$2^{n_x+n_y}$ $\binom{n_x+n_y}{n_x}$	$n_x = 9, n_y = 3$ 4 096 84
dense determinantal	$(r+1)^{(p-r)(q-r)}$ $\prod_{i=0}^{q-r-1} \frac{i!(p+i)!}{(q-1-i)!(p-r+i)!}$	$r = 8, p = 11, q = 12$ 282 429 536 481 4 723 719

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Critical questions

- **Complexity?**: polynomial in the number of solutions? polynomial in the number of variables?
- **Dedicated algorithms?**: how to exploit the structures to obtain efficient solving techniques?
- **Validation and Applications?**: which structures? systematic methods of analysis? experimental validation (asymptotic vs. practical complexity)?

0-dimensional solving strategy

$$f_1 = \dots = f_m = 0$$

↓

“grevlex” Gb

Row Echelon forms of **Macaulay matrices** up to degree d_{reg}

$$O\left(m \binom{n+d_{\text{reg}}}{n}^\omega\right)$$

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Linear algebra in $\frac{\mathbb{K}[X]}{I}$ as a \mathbb{K} -
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Complexity

Algorithms

Buchberger (1965)

F_4 (**Faugère 1999**)

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Macaulay matrix in degree d

$$f_1 = \dots = f_p = 0, \deg(f_i) = d_i$$

Rows: all products tf_i where $t \in \text{Monomials}(d - d_i)$.

Columns: monomials of degree d .

$$\begin{array}{l} t_1 f_1 \\ \vdots \\ t_k f_p \end{array} \begin{pmatrix} m_1 & \gamma & \dots & \gamma & m_\ell \\ \vdots & & & & \vdots \\ \vdots & & & & \vdots \end{pmatrix}$$

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$$\begin{matrix} t_1 f_1 \\ \vdots \\ t_k f_p \end{matrix} \begin{pmatrix} m_1 & \succ & \dots & \succ & m_\ell \\ & & & & \end{pmatrix}$$

Degree of regularity:

maximal degree reached

Hilbert series:

generating series of the rank defects

$$\text{HS}(t) = \sum_{d \in \mathbb{N}} \dim(\mathbb{K}[X]_d / I_d) t^d$$

$$d_{\text{reg}} = \deg(\text{HS}) + 1$$

- 1 Introduction
- 2 MinRank
 - 1 Determinantal ideals
 - 2 Multi-homogeneous systems
 - 3 Applications in Cryptology
- 3 Quadratic boolean systems

1 Introduction

2 MinRank

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The *MinRank* problem

$r \in \mathbb{N}$. M_0, \dots, M_n : $n + 1$ matrices of size $q \times q$.

MinRank

find $\lambda_1, \dots, \lambda_n$ such that

$$\text{Rank} \left(M_0 - \sum_{i=1}^n \lambda_i M_i \right) \leq r$$

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- **Multivariate** generalization of the **EigenValue** problem.
- Applications in **cryptology**, **coding theory**, **geometry**, ...
Kipnis/Shamir Crypto'99
Courtois Crypto'01
- Fundamental **NP-hard** problem of **linear algebra**.



Buss, Frandsen, Shallit.

J. of Computer and System Sciences. 1999.

The computational complexity of some problems of linear algebra.

Two algebraic modelings

$$\mathbf{M} = M_0 - \sum_{i=1}^n \lambda_i M_i,$$

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The minors modeling

$$\text{Rank}(\mathbf{M}) \leq r$$



all minors of size $(r + 1)$ of \mathbf{M} vanish.

- $\binom{q}{r+1}^2$ equations of degree $r + 1$.
- n variables.

Few variables, lots of equations, high degree !!

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The Kipnis-Shamir modeling

$$\text{Rank}(\mathbf{M}) \leq r \Leftrightarrow \exists x^{(1)}, \dots, x^{(q-r)} \in \text{Ker}(\mathbf{M}).$$

$$\mathbf{M} \cdot \begin{pmatrix} I_{q-r} \\ x_1^{(1)} \quad \dots \quad x_1^{(q-r)} \\ \vdots \quad \quad \quad \vdots \\ x_r^{(1)} \quad \dots \quad x_r^{(q-r)} \end{pmatrix} = 0$$

- $q(q-r)$ **bilinear** equations.
- $n + r(q-r)$ variables.

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- **Complexity** of solving MinRank using **Gröbner bases** techniques?
- **Comparison** of the two modelings?
- **Number** of solutions?



Faugère, Levy-dit-Vehel, Perret.

Crypto '08.

Cryptanalysis of MinRank.

	System	→	grevlex GB	→	lex GB.
<i>Complexity</i>				<i>Change of ordering</i>	
			$O\left(\binom{n}{q}^2 \binom{n+d_{\text{reg}}}{d_{\text{reg}}}\right)^\omega$		$O(n \cdot \#Sol^3)$



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q : size of the matrices, n : number of matrices, r : target rank. $n = (q - r)^2$.

	Minors	Kipnis-Shamir
Degree of regularity when $n = (q - r)^2$		$d_{\text{reg}} \leq q(q - r) + 1$
# Sol		$< \binom{q}{r}^{q-r}$
Complexity		polynomial in q when n is fixed ?

1 Introduction

2 **MinRank**

1 **Determinantal ideals**

2 Multi-homogeneous systems

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Let $r < q < p$ be integers and M be the $p \times q$ matrix

$$M = \begin{bmatrix} f_{1,1} & \cdots & \cdots & f_{1,q} \\ \vdots & \cdots & \cdots & \vdots \\ f_{p,1} & \cdots & \cdots & f_{p,q} \end{bmatrix}$$

with $f_{i,j} \in \mathbb{K}[x_1, \dots, x_n]$ of **degree D** .

The **evaluation** of M at $\mathbf{x} \in \overline{\mathbb{K}}^n$ is denoted by $M_{\mathbf{x}}$.

Determinantal systems

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Generalized MinRank Problem

Describe the set $V \subset \overline{\mathbb{K}}^n$ of points $\mathbf{x} \in \overline{\mathbb{K}}^k$ such that $\text{rank}(M_{\mathbf{x}}) \leq r$.

\rightsquigarrow **polynomial system solving** problem: $\text{Minors}_{r+1}(M) = 0$

Main results (ISSAC 2010 + generalization submitted)

with J.-C. Faugère, M. Safey El Din

$p \times q$ matrix. n variables. Entries of degree D .

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Zero-dimensional case ($n = (p - r)(q - r)$)

New bounds *under genericity assumptions*

$$d_{\text{reg}} = Dr(q - r) + (D - 1)(p - r)(q - r) + 1 < (pD - 1)(p - r)(q - r) + 1$$

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- \rightsquigarrow new **complexity bounds** for the solving the Generalized MinRank Problem;
- \rightsquigarrow families of Generalized MinRank Problems that can be solved in complexity **polynomial** in the **number of solutions**.

$$\mathcal{D} = \text{Minors}_{r+1} \begin{pmatrix} v_{1,1} & \cdots & v_{1,q} \\ \vdots & \ddots & \vdots \\ v_{p,1} & \cdots & v_{p,q} \end{pmatrix}$$

Entries are **variables**

$$r \times r \text{ matrix: } A_{i,j}(t) = \sum_{\ell} \binom{p-i}{\ell} \binom{q-j}{\ell} t^{\ell}$$

Roadmap of the proof

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Thom/Porteous 71, Giambelli 04,
Harris/Tu 84

The **degree** of \mathcal{D} is

$$\prod_{i=0}^{q-r-1} \frac{i!(p+i)!}{(q-1-i)!(p-r+i)!}$$

Conca/Herzog AMS'94, Abhyankar '88

The **Hilbert series** of \mathcal{D} is

$$\text{HS}_{\mathcal{D}}(t) = \frac{\det(A(t))}{t^{\binom{r}{2}} (1-t)^{pq-(p-r)(q-r)}}$$

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Conca/Herzog AMS'94, Abhyankar '88

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$$\text{HS}_{\mathcal{D}}(t) = \frac{\det(A(t))}{t^{\binom{r}{2}} (1-t)^{pq-(p-r)(q-r)}}$$

$r \times r$ matrix: $A_{i,j}(t) = \sum_{\ell} \binom{p-i}{\ell} \binom{q-j}{\ell} t^{\ell}$

transfer of properties of \mathcal{D} by adding
 $\langle v_{i,j} - f_{i,j} \rangle$

$$\mathcal{I} = \text{Minors}_{r+1} \begin{pmatrix} f_{1,1} & \cdots & f_{1,q} \\ \vdots & \ddots & \vdots \\ f_{p,1} & \cdots & f_{p,q} \end{pmatrix}$$

The **degree** of \mathcal{I} is

$$D^{(p-r)(q-r)} \prod_{i=0}^{q-r-1} \frac{i!(p+i)!}{(q-1-i)!(p-r+i)!}$$

The **Hilbert series** of \mathcal{I} is

$$\text{HS}_{\mathcal{I}}(t) = \frac{\det(A(t^D))(1-t^D)^{(p-r)(q-r)}}{t^{\binom{r}{2}} (1-t)^n}$$

Ingredients of the proof:

- **Cohen-Macaulay** rings;
- **quasi-homogeneous** polynomials.

MinRank – Complexity of the minors modeling

	System	→	grevlex GB	→	lex GB.
				Change of ordering	
Complexity			$O\left(\binom{n}{q}^2 \binom{n+d_{\text{reg}}}{d_{\text{reg}}}\right)^\omega$		
				$O(n \cdot \#Sol^3)$	

q : size of the matrices, n : number of matrices, r : target rank. $n = (q - r)^2$.

	Minors	Kipnis-Shamir
Degree of regularity when $n = (q - r)^2$		$d_{\text{reg}} \leq q(q - r) + 1$
# Sol		$< \binom{q}{r}^{q-r}$
Complexity		poly(q)??

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Complexity **polynomial** in q ;
Compatible **genericity assumptions**;

1 Introduction

2 **MinRank**

1 Determinantal ideals

2 **Multi-homogeneous systems**

3 Applications in Cryptology

3 Quadratic boolean systems

Relation between bilinear and determinantal systems

$F = (f_1, \dots, f_m) \in \mathbb{K}[x_0, \dots, x_{n_x}, y_0, \dots, y_{n_y}]^m$: system of **bilinear equations**.

$$\text{jac}_X(F) = \begin{pmatrix} \frac{\partial f_1}{\partial x_0} & \cdots & \frac{\partial f_1}{\partial x_{n_x}} \\ \vdots & \vdots & \vdots \\ \frac{\partial f_m}{\partial x_0} & \cdots & \frac{\partial f_m}{\partial x_{n_x}} \end{pmatrix} \quad \text{jac}_Y(F) = \begin{pmatrix} \frac{\partial f_1}{\partial y_0} & \cdots & \frac{\partial f_1}{\partial y_{n_y}} \\ \vdots & \vdots & \vdots \\ \frac{\partial f_m}{\partial y_0} & \cdots & \frac{\partial f_m}{\partial y_{n_y}} \end{pmatrix}$$

Euler relations

$$f = \sum x_j \frac{\partial f}{\partial x_j} = \sum y_j \frac{\partial f}{\partial y_j}.$$

$$\text{jac}_X(F) \cdot \begin{pmatrix} x_0 \\ \vdots \\ x_{n_x} \end{pmatrix} = \begin{pmatrix} f_1 \\ \vdots \\ f_m \end{pmatrix} \quad \text{jac}_Y(F) \cdot \begin{pmatrix} y_0 \\ \vdots \\ y_{n_y} \end{pmatrix} = \begin{pmatrix} f_1 \\ \vdots \\ f_m \end{pmatrix}$$

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$$f_1 = \dots = f_m = 0 \implies \mathbf{Minors}_{n_x+1}(\text{jac}_X(F)) = 0 \\ \implies d_{\text{reg}} \leq \min(n_x, n_y) + 1.$$

Main results (*J. of Symbolic Computation 2011*)

with J.-C. Faugère, M. Safey El Din

Giusti
Lazard
Macaulay

Bardet
Faugère
Salvy

New results:

	$m \leq n$	$m = \alpha n$	bilinear $m \leq n_x + n_y$
reductions to 0	F_5 criterion		extended F_5 crit.
subclass	regularity	semi-regularity	biregularity
Hilbert series	$\frac{\prod(1 - t^{d_i})}{(1 - t)^n}$	$\left[\frac{\prod(1 - t^{d_i})}{(1 - t)^n} \right]_+$	$\frac{Q(t_1, t_2)}{(1 - t_1)^{n_x} (1 - t_2)^{n_y}}$
complexity	$\approx 2^{\omega n}$	$\approx 2^{\omega(\alpha - 1/2 - \sqrt{\alpha(\alpha - 1)})n}$	$\approx 2^{\omega \min(n_x, n_y)}$

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- New theoretical **complexity bounds**. Experimentally observed.
- New classes of **affine bilinear systems** solved in polynomial time.

$$\rightsquigarrow \min(n_x, n_y) \text{ bounded} \Rightarrow \begin{cases} \text{poly in } n_x + n_y \\ \text{poly in } \mathbf{nb. solutions.} \end{cases}$$

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to be continued...



Courtois. Asiacrypt'01.

Efficient zero-knowledge authentication based on a linear algebra problem
MinRank.

$\mathbb{K} = \mathbf{GF}(65521)$ **(m, k, r)**: k matrices of size $m \times m$. Target rank: r .

Challenge	A	B			C
	(6, 9, 3)	(7, 9, 4)	(8, 9, 5)	(9, 9, 6)	(11, 9, 8)
degree	980	4116	14112	41580	259545
Minors modeling					
d_{reg}	10	13	16	19	
F_5 time	1.1s	28.4s	544s	9048s	-
F_5 mem	488 MB	587 MB	1213 MB	5048 MB	-
$\log_2(\text{Nb op.})$	21.5	25.9	29.2	32.7	
FGLM time	0.5s	28.5s	1033s	22171s	-
Kipnis-Shamir modeling					
d_{reg}	5	6	7		
F_5 time	30s	3795s	328233s	∞	
F_5 mem	407 MB	3113 MB	58587 MB		
$\log_2(\text{Nb op.})$	30.5	37.1	43.4		
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Computational **bottleneck**: computing the minors.

Computing effort needed for solving **Challenge C**:

238 days on 64 quadricore processors.

Bilinear systems: particular case of **multi-homogeneous** systems

Multi-homogeneity

$f \in \mathbb{K}[X^{(1)}, \dots, X^{(\ell)}]$ is **multi-homogeneous** of multi-degree (d_1, \dots, d_ℓ) if for all $\lambda_1, \dots, \lambda_\ell$,

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- \rightsquigarrow **Crypto**

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Based on **alternant codes**:

- secret key: a **parity-check** matrix of the form

$$H = \begin{pmatrix} y_0 & y_1 & \dots & y_{n-1} \\ y_0 x_0 & y_1 x_1 & \dots & y_{n-1} x_{n-1} \\ \vdots & \vdots & \ddots & \vdots \\ y_0 x_0^{t-1} & y_1 x_1^{t-1} & \dots & y_{n-1} x_{n-1}^{t-1} \end{pmatrix},$$

where $x_i, y_j \in \mathbb{F}_{2^m}$, with x_0, \dots, x_n pairwise distinct and $y_j \neq 0$.

- public key: a **generator matrix** G of the same code.

Modeling of McEliece cryptosystem

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$$\rightsquigarrow \forall i, j, \quad g_{i,0} y_0 x_0^j + \dots + g_{i,n-1} y_{n-1} x_{n-1}^j = 0.$$

\Rightarrow **Bi-homogeneous structure !!**

Compact variants

Goal: reduce the size of the keys.

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Faugère/Otmani/Perret/Tilich, Eurocrypt'2010

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Decomposing the subsystem over the field \mathbb{F}_2

⇒ **Bilinear system with $n_x \ll n_y$!!!**

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Moreover, the system is still over-determined and one can extract a subsystem containing only **powers of two**:

$$\rightsquigarrow \forall i, j \text{ a power of two !!}, \quad g_{i,0}y_0x_0^j + \cdots + g_{i,n-1}y_{n-1}x_{n-1}^j = 0.$$

Decomposing the subsystem over the field \mathbb{F}_2

⇒ **Bilinear system with $n_x \ll n_y$!!!**

Theoretical and **Practical attacks** on the **quasi-cyclic** and **dyadic** variants of McEliece !!

Algebraic cryptanalysis of (multi-)HFE

Patarin, Eurocrypt'96

Billet/Patarin/Seurin, ICSCC'08

Ding/Schmitt/Werner, Information Security, 2008

Granboulan/Joux/Stern, CRYPTO'06

$$P(x) = \sum_{0 \leq i, j \leq r} p_{i,j} x^{q^i + q^j} \in \mathbb{F}_q^n, \text{ with } r \ll n$$

\rightsquigarrow **low-rank** quadratic form $(\mathbb{F}_q)^n \rightarrow (\mathbb{F}_q)^n$

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⇒ the **secret polynomial** can be recovered by solving a **MinRank problem**.

Bettale/Faugère/Perret, PKC 2011

The **complexity** of solving this MinRank problem is conjectured to be bounded above by

$$O\left(n^{(r+1)\omega}\right).$$

↪ key-recovery attack with **polynomial complexity** in n !!

↪ attacks on **odd-characteristic** variants;

↪ generalizations to **multi-HFE**.

1 Introduction

2 MinRank

- Determinantal ideals
- Multi-homogeneous systems
- Applications in Cryptology

3 Quadratic boolean systems

Find **zeros** in \mathbb{F}_2^n of **quadratic polynomials** $f_1, \dots, f_m \in \mathbb{F}_2[x_1, \dots, x_n]$.

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State of the art:

- Worst case complexity $4 \cdot 2^n \log(n)$ (*Bouillaguet, Chen, Cheng, Chou, Niederhagen, Yang, Shamir, CHES'10*).
- Exponentially better bounds conjectured (*Yang, Chen, Courtois*).

Boolean systems (*J. of Complexity 2012*)

with M. Bardet, J.-C. Faugère, B. Salvy

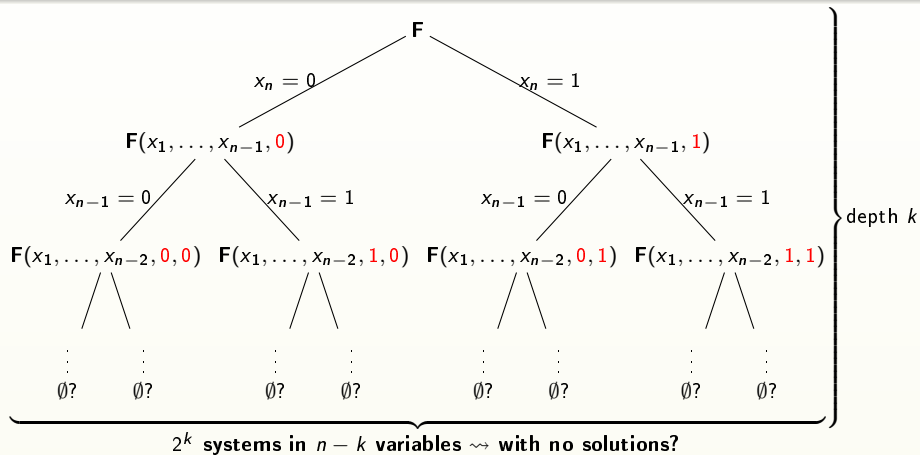
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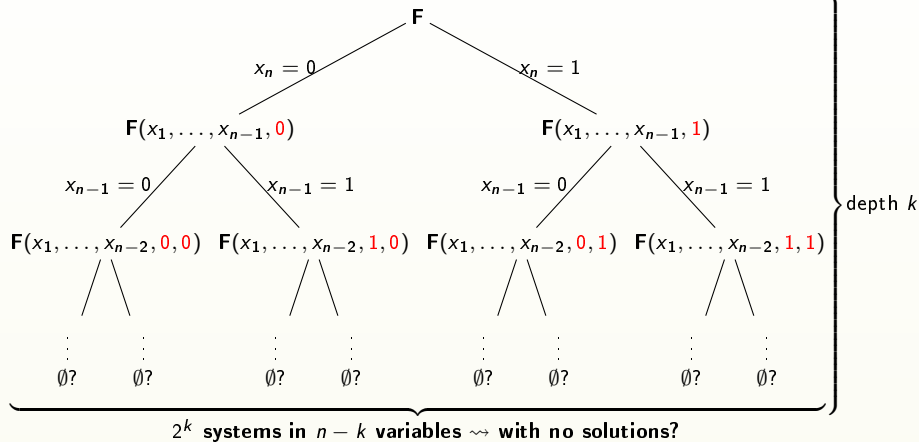
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Main algorithmic result \rightsquigarrow **Algorithm:**

Exhaustive search + **sparse linear algebra** **pruning branches** in the search tree



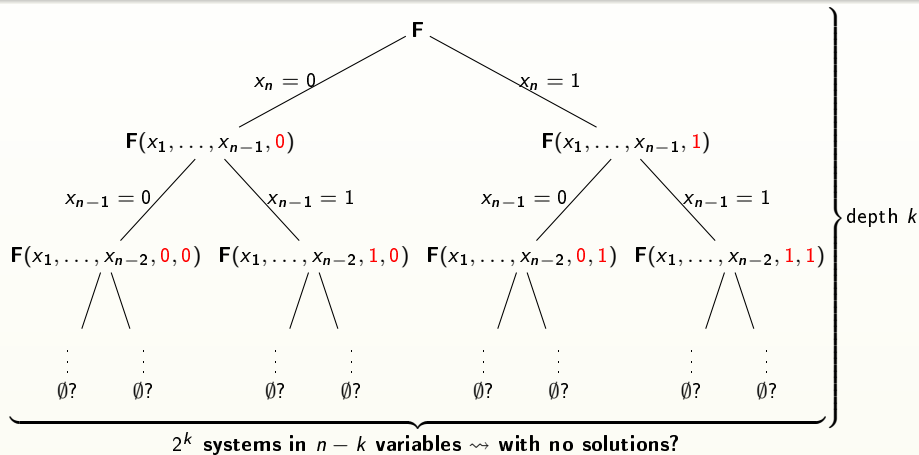


Hilbert Nullstellensatz

$F(x_1, \dots, x_{n-k}, a_{n-k+1}, \dots, a_n)$ has no solution in \mathbb{F}_2^{n-k}

$$\Downarrow$$

$$1 \in \langle F, x_1^2 - x_1, \dots, x_n^2 - x_n \rangle$$



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$$\Updownarrow$$

$$1 \in \langle F, x_1^2 - x_1, \dots, x_n^2 - x_n \rangle$$

Can be tested by solving
a linear system
 involving the
Macaulay matrix

Algorithm BooleanSolve

Input: $m, n, k \in \mathbb{N}$ such that $m \geq n > k$

f_1, \dots, f_m quadratic polynomials in $\mathbb{F}_2[x_1, \dots, x_n]$.

Output: The set of boolean solutions of the system $f_1 = \dots = f_m = 0$.

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For all $(a_{n-k+1}, \dots, a_n) \in \mathbb{F}_2^k$

For i from 1 to m

(specialization)

$\tilde{f}_i(x_1, \dots, x_{n-k}) := f_i(x_1, \dots, x_{n-k}, a_{n-k+1}, \dots, a_n) \in \mathbb{F}_2[x_1, \dots, x_{n-k}]$.

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EndFor

$M :=$ **boolean Macaulay matrix** of $(\tilde{f}_1, \dots, \tilde{f}_m)$ in degree d_0 .

If the system $\mathbf{u} \cdot M = (0 \quad \dots \quad 0 \quad 1)$ is **inconsistent**

(pruning)

$T :=$ solutions of the system $(\tilde{f}_1 = \dots = \tilde{f}_m = 0)$ (exhaustive search).

For all $(t_1, \dots, t_{n-k}) \in T$

$S := S \cup \{(t_1, \dots, t_{n-k}, a_{n-k+1}, \dots, a_n)\}$.

EndFor

EndIf

EndFor

Return S .

- 1 Choice of d_0 (in function of the number of specialized variables k)?
 \rightsquigarrow index of the **first non-positive coefficient** in $\frac{(1+t)^{n-k}}{(1-t)(1+t^2)^m}$
 $\rightsquigarrow d_0 \sim M(\gamma)n$ when $k = (1 - \gamma)n$
- 2 Sizes of the Macaulay matrices (function of k)?
- 3 Complexity of the **consistency tests** (function of k)?
 $O(2^{(1-\gamma+\omega F(\gamma)+\varepsilon)n})$
- 4 Find optimal k for **asymptotic complexity**?
 - Gauss: $k = 0.73n$;
 - Coppersmith-Winograd: $k = 0.60n$
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Linear solving – Wiedemann's algorithm

A linear system $A \cdot \mathbf{x} = \mathbf{b}$ can be solved within $\tilde{O}(\text{size}(A)^2)$ operations and $\tilde{O}(\text{size}(A))$ **evaluations** of the linear function $\mathbf{u} \mapsto A \cdot \mathbf{u}$.

↔ exploits the **sparsity** of the **Macaulay matrix**
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Under precise *algebraic assumptions*, if $m = n$, the **complexity** is

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Experiments

- **Algebraic assumptions** are verified with **prob. close to 1**.
- Probabilistic variant: when $n = m$, **more efficient** than exhaustive search when $n \geq 200 \rightsquigarrow$ **Crypto applications** (QUAD).

Solving αn equations in n variables: 2^{cn}

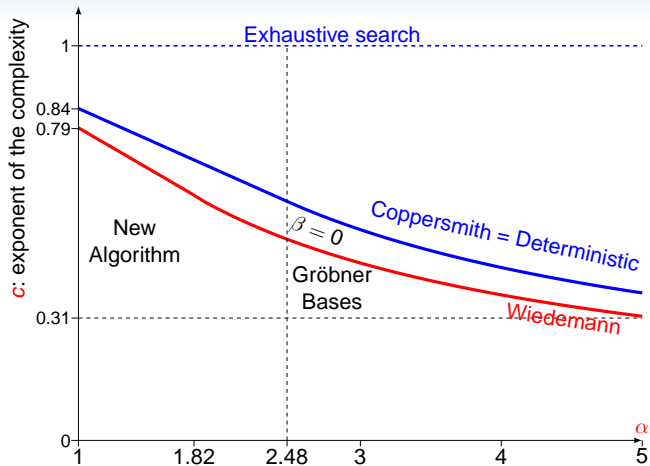


Figure: Exponent of the complexity in terms of α

Structures have an impact on the complexity of the solving process in algebraic cryptanalysis !

Design techniques, key size reduction, ... $\xleftrightarrow{\text{Structure}}$ potential algebraic attacks.

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Algorithmic improvements

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Perspectives

- **Dedicated F_5 algorithm** for multi-homogeneous systems.
- Dedicated algorithm for **determinantal systems?**

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Thank you!